

QACE REQUIREMENTS

Preamble

In compliance with the EC Regulation 391 Art. 11 2(c), QACE develops 'Requirements' (QRs) when necessary and publishes these for implementation by the Recognized Organizations.

QACE Requirements (QRs)

QR #, Issue & Effective Dates	Requirement	Underlying Principle	Status
<p>1a</p> <p>Issue Date: 2018 Jan. 31</p> <p>Effective Date: 2018 Jan. 31</p>	<p>Exclusion of block inspections from New Construction Vertical Contract Audits. Projects to have completed plan approval and be at least at 20-25% stage of construction. Pre-site comments to be available.</p> <p>Exclusion of survey kick-off meetings from Ships in Operation Vertical Contract Audits.</p> <p>ACB Audit Reports to reflect the stage of survey audited.</p>	<p>The intention of Vertical Contract Audits is to witness the survey of substantial requirements.</p>	<p>Valid</p>
<p>2</p> <p>Issue Date: 2018 Jan. 31</p> <p>Effective Date: 2018 Jan. 31</p>	<p>QACE 2018 Specific Interest Areas:</p> <p>Consistency and effectiveness of the RO's transition to the risk based ISO 9001:2015 standard.</p> <p>Resources after significant reduction in headcount by some ROs.</p> <p>RO's risk assessment and controls over classed non-convention vessels.</p>		<p>Withdrawn as not relevant now since these were 2018 Special Interest</p>

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	Auditing of Water Ballast Management Convention approval of BWM Plan and de harmonisation of IOPP Renewal Survey.		Areas.
<p>3</p> <p>Issue Date: 2018 Jan. 31</p> <p>Effective Date: 2018 Jan. 31</p>	<p>QACE Individual Recommendations.</p> <p>In a change to the QACE Process 03-04 Collective and Individual Recommendations section 2.6, RO's Individual Recommendations (IRs), produced by QACE, will be copied to the RO's ACB by QACE.</p> <p>The RO's response to QACE IRs, produced within 3 months of the delivery of the IRs, are also to be copied to the ACB by the RO.</p> <p>The IRs are to be confidential to QACE, the RO and to the ACB.</p>	<p>The Individual Recommendations include the witnessing of the ACBs audit and trend analysis of the ACB's audit findings. The conclusions drawn in respect of individual RO's strengths and opportunities for improvement and QACE assessment of the ACB audit are relevant to the ACB's planning and audit of the RO</p>	Valid
<p>4</p> <p>Issue Date: 2021 Feb. 04</p> <p>Effective Date: 2021 Feb. 04</p>	<p>RO Technical Staff Authorization</p> <p>Recognized Organizations shall explicitly document their requirements for technical staff to maintain their authorizations for inspection, survey, plan approval or audit work, in situations where they have not utilized the authorization for more than three continuous years, unless a different retraining periodicity is specified in the relevant statutory regulations. Such requirements may include any combination of meetings, practical training, self-study or e-learning.</p>	<p>The requirements, techniques, or technology can rapidly change, and technical staff must be aware of the changes and be competent to implement them.</p>	Valid

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<p>5</p> <p>Issue Date: 2021 Feb. 04</p> <p>Effective Date: 2021 Feb. 04</p>	<p>Activity monitoring of RO technical staff</p> <p>ROs shall be carry out activity monitoring on work that is carried out by the person most of the time in the relevant period and not on minor works carried out occasionally.</p>	<p>The purpose of activity monitoring is to confirm that technical staff are competently performing major activities and that the ROs derive benefit from such monitoring.</p>	<p>Valid</p>
<p>6</p> <p>Issue Date: 2021 Feb. 04</p> <p>Effective Date: 2021 Feb. 04</p>	<p>ACB Auditing Competence</p> <p>Vertical Contract Audits of ships in service and of new construction projects, shall be carried out by ACB auditors with practical experience in shipbuilding or ship survey activities.</p>	<p>To ensure that auditors with relevant experience audit critical activities so that more value and benefits are derived from VCAs and the effectiveness of the certification system is improved.</p>	<p>Valid</p>