

2017 COLLECTIVE RECOMMENDATIONS

QACE 2016 ANNUAL REPORT - ANNEX C

1/ Audit of remote locations and surveyors. 'Areas of the world that are distant from the RO's control, and where high volumes of work are not held, do not have the same level of experience with complex surveys. Local, restricted service national trade vessels may not be subject to the most stringent level of control. QACE recommends a focus on the work held by these offices and remote surveyors. QACE recommends an audit of representative offices and, where inclusion in the audit plan may not be practical, remote review of office and surveyors' jobs and local vessel survey control information'.

2/ Exclusion of early stages of surveys from Vertical Contract Audit. 'While the difficulties of organising the audits during ships in operation surveys are well known, the intention of Vertical Contract Audits is to witness the survey of substantial requirements. To that end QACE will specify a requirement that survey planning kick-off meetings are not an appropriate subject for VCA. For the same reason QACE will also specify that New Construction VCAs are to be held where plan approval is complete and where the ship is at erection stage and that audit of block inspection is not at an appropriate subject'.

3/ Closed loop Audit Focus Area reporting. Audit focus areas, have been identified from finding trends and concern from industry partners. Where necessary the auditor team have been trained in the issue and are required to include the points during appropriate audits. To date the results of the audits in relation to the focus areas have not been collected and analysed to identify effective measures, or the results reported back to the interested parties. QACE would like to explore possible methods to achieve this during the annual End User Workshop.

4/ Extension of process auditing to Rule development. QACE considers Rule Development as a critical process in ensuring new external and internal requirements are effectively implemented into the RO's Rules and instructions in advance of the mandatory implementation date. Typically, audited in the Rule Development department of the RO's Head Offices. QACE sees some scope in ACB's considering the end to QACE end process from the identification of requirements (external affairs), control within the Rule development plan, the technical appraisal, Rule inclusion, publication, training, advice to surveyors, updates to systems and controls. Important in the process is how the RO verifies the effectiveness of the process and individual Rule inclusions.