

2012 COLLECTIVE RECOMMENDATIONS

QACE 2011 ANNUAL REPORT - ANNEX C

1. Auditors' knowledge

Improving the auditors' knowledge of the specific features of the nature and obligations of ROs, and of the basic work processes for classification and statutory certification services. QACE would encourage that class and flag state surveyors with auditing practice become more involved in audits of ROs through working for ACBs.

2. Auditors' experience

ROs are generally entrusted with the implementation of a very complex system of regulations. Both classification and maritime statutory certification services are complex processes, and auditors must get adequate and regular practice in performing audits of these processes.

3. Audit planning

Audit planning needs to be better and become more consistent, particularly in relation to:

- Spread and Sampling of locations
- Time dedicated to audit Service Provision
- Time allocated for locations and VCA

4. VCA Selection

VCA selections need to be representative for the types and ages of vessels, and of the services, of the RO.